

# BPP OC ANNUAL FORUM 18 October 2023

The Forum is convened by the BPP OC as mandated by the 2019 Best Practice Principles for Providers of Shareholder Voting Research & Analysis



## BEST PRACTICE PRINCIPLES GROUP FOR PROVIDERS OF SHAREHOLDER VOTING RESEARCH & ANALYSIS

The purpose of the annual Forum is to allow all types of stakeholders to discuss the proxy voting advisory and research industry and its Best Practice Principles (BPP).

The BPP Oversight Committee's (BPP OC) annual review of the BPP and the public compliance statements of each BPP Signatory will be presented and discussed at the Forum with investors, listed companies, regulators and stakeholders.

**100+**

GLOBAL MARKETS

**25.000+**

COMPANIES ACROSS THE WORLD

**30.000+**

ORDINARY AND/OR EXTRAORDINARY  
SHAREHOLDERS' MEETINGS EACH YEAR

**1.300+**

CLIENTS WITH TOTAL ASSETS UNDER  
MANAGEMENT OF ABOUT \$40 TRILLION





**BEST PRACTICE PRINCIPLES GROUP**  
FOR PROVIDERS OF SHAREHOLDER VOTING RESEARCH & ANALYSIS

<p>16.00 -16.05 CEST 15:00 -15:05 BST 10.00 -10.05 ET</p>	<p><b>Welcome address &amp; introduction</b></p> <p><i>Konstantinos Sergakis, BPP OC Chair</i></p>
<p>16.05 -16.15 CEST 15:05 -15:15 BST 10.05 -10.15 ET</p>	<p><b>Presentation of the annual review of the BPPG Signatories' compliance statements</b></p> <p><i>Anna Tilba, Professor in Strategy and Governance, Durham University</i></p>
<p>16.15 -16.55 CEST 15:15 -15:55 BST 10.15 -10.55 ET</p>	<p><b>Principle One: Service Quality</b></p> <p>Discussion panel</p> <ul style="list-style-type: none"> <li>• Bruce Duguid, Head of Stewardship, EOS</li> <li>• Georgina Marshall, Global Head of Research, ISS</li> <li>• Valerio Novembre, Senior Policy Officer, ESMA</li> <li>• Luz Rodriguez, Director of Corporate Governance and Legal Services, Colorado PERA</li> <li>• Madeleine Szeluch, ESG Director, Novartis Association</li> </ul> <p><i>Moderator: Michael Herskovich, Global Head of Stewardship &amp; Proxy Voting, BNP Paribas AM</i></p>
<p>16.55 -17.00 CEST 15:55 - 16:00 BST 10.55 -11.00 ET</p>	<p><b>Q&amp;A</b></p>
<p>17.00 -17.40 CEST 16:00 -16:40 BST 11.00 -11.40 ET</p>	<p><b>Principle Two: Conflict of interest avoidance or management</b></p> <p>Discussion panel</p> <ul style="list-style-type: none"> <li>• Jared Brandman, Senior Vice President, General Counsel and Secretary, National Vision</li> <li>• Nichol Garzon-Mitchell, Chief Legal Officer, SVP Corporate Development, Glass Lewis</li> <li>• Rob Hardy, ESG Corporate Governance Director, Capital Group</li> <li>• Sacha Sadan, Director of ESG, FCA</li> </ul> <p><i>Moderator: Amy Borrus, Executive Director, CII</i></p>
<p>17.40 -17.45 CEST 16:40 -16:45 BST 11.40 -11.45 ET</p>	<p><b>Q&amp;A</b></p>
<p>17.45 -18.00 CEST 16:45 -17:00 BST 11.45 -12.00 ET</p>	<p><b>Break</b></p>



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<p>18.00 -18.40 CEST 17:00 -17:40 BST 12.00 -12.40 ET</p>	<p><b>Principle Three: communication policy</b></p> <p>Discussion panel</p> <ul style="list-style-type: none"> <li>• Marine Corrieras, Division doctrine émetteurs, Autorité des marchés financiers (AMF)</li> <li>• Mary Francis, Corporate Secretary and Chief Governance Officer, Chevron Corporation</li> <li>• Alan MacDougall, Founder &amp; Managing Director, PIRC</li> <li>• Andy Mason, Head of Active Ownership, Aberdeen Standard Investments</li> <li>• Sarah Wilson, Founder &amp; CEO, Minerva Analytics</li> </ul> <p><i>Moderator: Hope Mehlman, CLO, General Counsel &amp; Corporate Secretary, Discover</i></p>
<p>18.40 -18.45 CEST 17:40 -17:45 BST 12.40 -12.45 ET</p>	<p><b>Q&amp;A</b></p>
<p>18.45 -19.00 CEST 17:45 -18:00 BST 12.45 -13.00 ET</p>	<p><b>Concluding remarks</b></p> <p><i>Konstantinos Sergakis, BPP OC Chair</i></p>

To attend the BPP OC Annual Forum,  
(free) registration is required:

[https://www.brighttalk.com/webcast/18792/592984?utm\\_source=Forumparticipants&utm\\_medium=brighttalk&utm\\_campaign=592984](https://www.brighttalk.com/webcast/18792/592984?utm_source=Forumparticipants&utm_medium=brighttalk&utm_campaign=592984)

